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PATENT
Attorney Docket No. SRT-006CP
(5049/7)

#4/3-202
V. Jones

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Horvath et al.
SERIAL NO.: 09/819,328 GROUP NO.: 2131
FILING DATE: March 28, 2001 EXAMINER: Not Yet Assigned
TITLE: DYNAMICALLY EXTENDIBLE FIREWALL

Assistant Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Per 37 C.F.R. 1.89(d), copies of the cited patents and publications have been submitted in an Information Disclosure Statement in U.S. Application Serial No. 09/550,230, which is relied upon in the present case for an earlier effective filing date under 35 U.S.C. 120.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application, or within three (3) months of the **date of entry of the national stage** as set forth in 37 CFR 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 CFR 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 CFR 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 CFR 1.17(p), namely **\$180.00**, is included herein, or

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- (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, AND
- ☐ the requisite Statement is below, AND
- ☐ the requisite petition fee under 37 CFR 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 CFR 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



John D. Lanza
Attorney for Applicants
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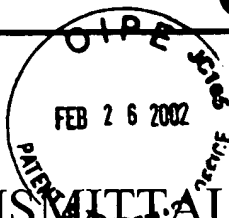
Date: February 13, 2002
Reg. No. 40,060

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Fax No.: (617) 248-7100

TRANSMITTAL

FORM

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Application Serial Number	09/819,328
Filing Date	March 28, 2001
First Named Inventor	Horvath et al.
Group Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket No.	SRT-006CP (5049/7)
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Petition for Extension of Time <input checked="" type="checkbox"/> First Supplemental Information Disclosure Statement (2 pgs.) <input checked="" type="checkbox"/> First Supplemental Form PTO-1449 (1 pg.) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
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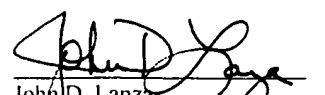
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Respectfully submitted,


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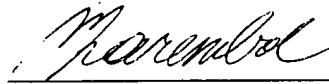
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TITLE: DYNAMICALLY EXTENDIBLE FIREWALL

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 14th day of February 2002.


Irja Zarembok

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form (1 page);
First Supplemental Information Disclosure Statement (2 pages);
First Supplemental Form PTO-1449 (1 page); and
a return receipt postcard.

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